

## Certified Banker (CB) Module Exemption Application Form

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### SECTION A: PERSONAL PARTICULARS

Title <input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Dr <input type="checkbox"/> Other (please specify)				HKIB Membership No.
Name (Surname)		(Given Name)		HKID/Passport No.
<small>(as shown on HKID/Passport)</small>				
Current Employer, if applicable				
Department			Position	
Telephone / Mobile no.			E-mail	

### SECTION B: APPLICATION FOR EXEMPTION

Applicants with appropriate qualification(s) may apply module(s) exemption with exemption ceiling as required.

- Advanced Diploma for Certified Banker: 75% (with non-HKIB programmes no more than 50%) of total credits.
- Professional Diploma for Certified Banker: 50% of total credits.
- 2 Modules of the Postgraduate Diploma in Credit Management for Certified Banker. The candidate needs to pass the Integrated Case Study Examination to complete Postgraduate Diploma for Certified Banker.

Please "✓" the module(s) which you would like to apply for exemption.

#### Advanced Diploma for Certified Banker:

Qualifications	Modules	Please "✓"	For office use only
Advanced Diploma for Certified Banker (QF Level 4)	Core: Future Banking & ESG (Credit 10)	<input type="checkbox"/>	
	Core: Professional Ethics and Compliance (Credit: 10) <sup>1</sup>	N/A	
	Elective: Fundamentals of Accounting (Credit: 10)	<input type="checkbox"/>	
	Elective: Introduction to Banking Law (Credit: 10)	<input type="checkbox"/>	
	Elective: Introduction to People Management Skills (Credit 10)	<input type="checkbox"/>	
	Elective: Risk Management (Credit: 10)	<input type="checkbox"/>	
	Elective: Fundamentals of Treasury Markets (Credit: 10) <sup>2</sup>	<input type="checkbox"/>	
	Elective: Qualification Certificate of Banking Professional (QCBP) (Credit 10) <sup>3</sup>	<input type="checkbox"/>	
	Elective: ECF-AML/CFT (Core) (Credit: 20) <sup>4</sup>	<input type="checkbox"/>	
	Elective: ECF-Cybersecurity (Core) (Credit: 20) <sup>5</sup>	<input type="checkbox"/>	
	Elective: ECF-ORM (Core) (Credit 20) <sup>6</sup>	<input type="checkbox"/>	

**Note:**

- The core module of Advanced Diploma for Certified Banker: "Professional ethics and compliance" cannot be exempted.
- The elective module of Advanced Diploma for Certified Banker: "Fundamentals of Treasury Markets" can be exempted by using the Professional Certificate in Treasury Markets (i.e. ECF-Treasury Management (Core Level)) offered by LiPACE of OUHK.
- The elective module of Advanced Diploma for Certified Banker: "Qualification Certificate of Banking Professional (QCBP)" can be exempted by using QCBP offered by China Banking Association (CBA).
- The elective module of Advanced Diploma for Certified Banker: "ECF-AML/CFT (Core)" can be exempted by using the Advanced Certificate for ECF on Anti-Money Laundering and Counter-Financing of Terrorism (AML/ CFT) or the respective grandfathered certificate.
- The elective module of Advanced Diploma for Certified Banker: "ECF-Cybersecurity (Core)" can be exempted by using the Advanced Certificate for ECF on Cybersecurity.
- The elective module of Advanced Diploma for Certified Banker: "ECF-ORM (Core)" can be exempted by using the Advanced Certificate for ECF on Operational Risk Management or the respective grandfathered status.

**Professional Diploma for Certified Banker**

Qualifications	Modules	Please "✓"	For office use only
Professional Diploma for Certified Banker QF (Level 5)	Elective: Corporate Finance Services (Credit: 30)	<input type="checkbox"/>	
	Elective: Finance of International Trade (Credit: 30)	<input type="checkbox"/>	
	Elective: Green Finance and Sustainability (Credit 30) <sup>7</sup>	<input type="checkbox"/>	
	Elective: Technology Management and Innovation in Banking (Credit: 30)	<input type="checkbox"/>	
	Elective: ECF-AML/CFT (Professional) (Credit: 30) <sup>8</sup>	<input type="checkbox"/>	
	Elective: ECF-CRM (Core) (Credit: 30) <sup>9</sup>	<input type="checkbox"/>	
	Elective: ECF-Fintech (Core) (Credit: 30) <sup>10</sup>	<input type="checkbox"/>	
	Elective: ECF-ORM (Professional) (Credit 30) <sup>11</sup>	<input type="checkbox"/>	
	Elective: ECF-RWM (Professional) (Credit 30) <sup>12</sup>	<input type="checkbox"/>	

*Note:*

7. The elective module of Professional Diploma for Certified Banker: **"Green Finance and Sustainability"** can be exempted by using the Specialist Certificate in Green Finance and Sustainability.
8. The elective module of Professional Diploma for Certified Banker: **"ECF-AML/CFT (Professional)"** can be exempted by using the Professional Certificate for ECF on Anti-Money Laundering and Counter-Financing of Terrorism (AML/ CFT) or the respective grandfathered certificate.
9. The elective module of Professional Diploma for Certified Banker: **"ECF-CRM (Core)"** can be exempted by using the Professional Certificate for ECF on Credit Risk Management (CRM) or the respective grandfathered certificate.
10. The elective module of Professional Diploma for Certified Banker: **"ECF-Fintech (Core)"** can be exempted by using the Professional Certificate for ECF-Fintech or the respective grandfathered status.
11. The elective module of Professional Diploma for Certified Banker: **"ECF-ORM (Professional)"** can be exempted by using the Professional Certificate for ECF-Operational Risk Management or the respective grandfathered status.
12. The elective module of Professional Diploma for Certified Banker: **"ECF-RWM (Professional)"** can be exempted by using the Professional Certificate for ECF-Retail Wealth Management (RWM) or the respective grandfathered status.

**Postgraduate Diploma in Credit Management for Certified Banker<sup>13</sup>**

Qualifications	Modules	Please "✓"	For office use only
Postgraduate Diploma in Credit Management for Certified Banker QF (Level 6)	Core : Commercial Lending for ECF on Credit Risk Management (Professional) (Credit: 30) <sup>14</sup>	<input type="checkbox"/>	
	Core : Credit Portfolio Management for ECF on Credit Risk Management (Professional) (Credit: 30) <sup>15</sup>	<input type="checkbox"/>	

*Note:*

13. For modules in Postgraduate Diploma (Stream) in Treasury Management and Operations Management for Certified Banker, it cannot be exempted.
14. The core module of Postgraduate Diploma for Certified Banker: **"Commercial Lending for ECF on Credit Risk Management (Professional)"** can be exempted by using the Postgraduate Certificate in Commercial Lending for ECF on Credit Risk Management or the respective grandfathered certificate after passing the written assessment developed by the HKIB.
15. The core module of Postgraduate Diploma for Certified Banker: **"Credit Portfolio Management for ECF on Credit Risk Management (Professional)"** can be exempted by using the Postgraduate Certificate in Credit Portfolio Management for ECF on Credit Risk Management or the respective grandfathered certificate after passing the written assessment developed by the HKIB.

**SECTION C: PAYMENT**

FEES SCHEDULE	PAYMENT METHOD				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Application Fee * (per form)</td> <td style="width: 40%;">HK\$200</td> </tr> <tr> <td>Exemption Fee # (per module)</td> <td>HK\$880</td> </tr> </table> <p>* Application fee is non-refundable</p> <p># Exemption fee will not be charged if the application is unsuccessful</p> <p>Remark: The application fee and exemption fee will be waived for the exemption as stated in the above note 4 to 12 and 14 to 15 on page 1 and 2.</p>	Application Fee * (per form)	HK\$200	Exemption Fee # (per module)	HK\$880	<p><input type="checkbox"/> Cheque (made payable to "THE HONG KONG INSTITUTE OF BANKERS")  <b>Please use separate cheques for Application Fee and Module Exemption Fees (use separate cheque for each module)</b></p> <p><input type="checkbox"/> Credit Card</p> <p><input type="checkbox"/> Visa    <input type="checkbox"/> Master                      Amount HK\$ _____</p> <p>Name of Cardholder _____</p> <p>Credit card no. _____ - _____ - _____ - _____</p> <p>Expiry Date ____ / ____    Signature _____</p> <p><b>Please fill in the total amount of your Application Fee and Module Exemption Fees</b></p>
Application Fee * (per form)	HK\$200				
Exemption Fee # (per module)	HK\$880				

**CHECKLIST**

- Completed all sections of this form
- Enclosed required fees (by cheques or fill in the credit card mail order payment instructions)
- Enclosed certified true copies of your certificate(s) and official transcripts
- Enclosed a mapping table between syllabus of qualification(s) obtained and syllabus of HKIB's module(s) if applicable
- Enclosed course outlines, syllabus, samples of assessments, etc. of qualifications/courses
- Enclosed grandfathering confirmation letter (if any) and the Recognising Prior Learning Assessment (RPL) (if any)

**ACKNOWLEDGEMENT AND DECLARATION**

I, the undersigned, hereby make application for the exemption of the CB module(s) offered by The Hong Kong Institute of Bankers. I declare that the information given in this form is true and accurate.

I fully understand that the application fee (HK\$200) for this application is non-refundable.

I fully understand that the Institute reserves the rights to reject any application without sufficient documents (e.g. personal information, certificates, official transcripts, etc.), and to charge application fee as an administration fee.

I confirm that I have read the relevant Programme Handbook and Notes for Exemption printed in this form and fully understand the rules and regulations.

  

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

FOR OFFICE USE ONLY	
Assessed by	Amount
Entry Date	Send on
Remarks	

## NOTES FOR EXEMPTION

1. To apply for exemption, the applicant should be a current member of The Hong Kong Institute of Bankers (the Institute).
2. The applicant should submit all relevant documentary evidence for his/her qualifications (i.e. certificates, official transcripts, etc.) together with this form. Only **certified true copies** of the documents are accepted. We only accept photocopies of the documents which are certified as true copies by:
  - (i) The Hong Kong Institute of Bankers; or
  - (ii) Current employer's HR Department; or
  - (iii) Liaison Officer (District Offices), Home Affairs Department of the HKSAR
3. Exemption application will only be considered if over 70% of the Institute's syllabus is covered. Applicant should provide a **mapping table (See Annex)** listing the equivalent topics between syllabus of CB module(s) and syllabus of qualifications/courses obtained.
4. Applicant may apply module(s) exemption with an exemption ceiling:-
  - 75% (with non-HKIB programme no more than 50%) of the total credits on the Advanced Diploma for Certified Banker
  - 50% on the Professional Diploma for Certified Banker.
  - 2 Modules of the Postgraduate Diploma in Credit Management for Certified Banker. The candidate needs to pass the Integrated Case Study Examination to complete Certified Banker.
5. The application fee of HK\$200 is non-refundable.
6. Only successful application will be charged for exemption fees. The amount of exemption fee is subject to the number of module(s) approved.
7. Exemption results will normally be given in writing within two months after the receipt of application and sufficient supporting documents. If further assessment is needed due to unexpected circumstances, separate notifications will be given. The decision of the Institute is final and cannot be appealed.
8. All documents submitted will not be returned regardless of the result of the application.
9. To apply for exemption on Commercial Lending for ECF on Credit Risk Management (Professional) or Credit Portfolio Management for ECF on Credit Risk Management (Professional) by using respective grandfathering qualification, Applicant need to pass the Recognising Prior Learning (RPL) written assessment developed by the HKIB. For further details and arrangement for the assessment, please contact HKIB.
10. The Institute reserves its right to refuse application for exemption if deemed appropriate.

### For Enquires:

Address: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong

Telephone no.: (852) 2153 7821 Fax no.: (852) 2544 9946 E-mail: [exam@hkib.org](mailto:exam@hkib.org) Website: <http://www.hkib.org>

- Applicant may consider applying exemption of a CB module by multiple qualifications. However, normally not more than FOUR qualifications/courses will be considered for exempting a CB module.
- Please enclose corresponding **certified true copies** of your certificate(s) and official transcript(s).
- Please enclose syllabus(es) or detail course outline(s) of relevant courses, samples of assessments, etc. of qualifications/courses.

### SYLLABUS MAPPING TABLE

1. Name of Qualification Achieved: **e.g. Bachelor of Accounting in ABC University**

CB Module		Qualification Achieved	
Module	Section(s) of Syllabus	Module (s) Completed	Section(s) of Syllabus
e.g. Elective: Fundamentals of Accounting	e.g. Chapter 1: Financial Reporting	e.g. Module Name: ACCT1234 Principle of Accounting	e.g. Ch2. Analysing and Recording Transactions
	1. Basics of Book Keeping	HKU ABC: ACCT1234 Introduction to Accounting	Ch.3 Adjusting Accounts and Preparing Financial Statements  Ch.13 Accounting for Corporations  Ch.16 Statement of Cash Flows
	Chapter 2: Earnings and Ratios analysis	Corporate Finance: FINA1234  HKU ABC: ACCT2345 Intermediate Accounting	Ratios and earnings are taught in capital budgeting and valuation  Income from continuing operations and earnings quality Statement cash flows
Chapter 3: Investment Decisions and Valuation	Corporate Finance: FINA1234	Evaluate managerial decisions in corporate investing and financing activities	